FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMME TRANSMITTAL LETTER TO THE UNITED STATES 296025US0PCT DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/JP05/06605 April 4, 2005 April 7, 2004 TITLE OF INVENTION NITROGENOUS HETEROCYCLE DERIVATIVE AND ORGANIC ELECTROLUMINESCENT ELEMENT EMPLOYING THE SAME APPLICANT(S) FOR DO/EO/US Masahiro KAWAMURA, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). If the declaration is in a language other than the English language, it is accompanied by an English translation. The translation is accurate (37 CFR 1.69) An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). A copy of the International Preliminary Examination Report (PCT/IPEA/409). A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 13. d. List of Related Cases. Cited References 3 c. Statement of Relevancy 14. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4).

A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

Other items or information: Notice of Priority/PCT/IB/304

23.

IAPS Rec'd PCT/PTO 27 SEP 2006

The following fees are submitted: 2.4 Basic national fee. 5.300 5.300.00	U.S. APPLICATION	0759432	INTERNATIONAL POST	L APPLICATION NO.	296025US0PC			
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If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IEA/US indicates at Idams satisfy provisions of PCT Article 33(1)+4)		nal fee		\$300	\$300.00			
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If the written opinion of the ISAUS or the International preliminary examination report prepared by IPAUS indicates all claims satisfy provisions of PCT Article 33(1/4)	All other situations			\$200	\$200.00			
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TOTAL OF 24, 25 AND 26 =								
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$220 for exch additional 50 or computer program listing filed in an electronic medium). The fee is \$220 for exch additional 50 or computer program listing filed in an electronic medium). The fee is \$220 for exch additional 50 or computer for a search fee, and the search fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(b)). Suncharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(b)). CLAIMS NUMBER FILED NUMBER EXTRA RATE Total claims 15 - 20 = 0	TOTAL OF	04.05.4ND.00						
sequence listing or computer program listing filled in an electronic medium). The fee is \$250 for each additional 50 or furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). Sucharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). CAIMS NUMBER FILED NUMBER EXTRA RATE CLAIMS NUMBER FILED NUMBER EXTRA RATE CLAIMS 15 - 20 = 0			61.11		\$900.00			
\$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets								
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Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CPR 1.492(h)). CLAIMS NUMBER FILED NUMBER FIXED Total claims 15 - 20 = 0	Total Sheets Ex	tra Chaote		I DAIL				
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TOTAL OF ABOVE CALCULATIONS = \$1,150.00 \$1,150		L.,		<u>-i.</u>		_		
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$1,150.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$1,150.00 Fee for recording the enclosed assignment (37 CFR 1.21(iii)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ Petition fee of \$1,500.00 for Petition to Revive (37 CFR 1.137 (b)). + \$ TOTAL FEES ENCLOSED = \$1,150.00 Amount to be refunded: \$ Amount to be charged: \$ Amount to be charged: \$ Amount to be charged: \$ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0030 in the amount of \$ to cover the above fees. C. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filled and granted to restore the International Application to pending status. SEND ALL CORRESPONDCE TO: CUSTOMER NUMBER Processing fee of \$130.00 for furnishing the English translation and authorization on PTO-2038. Registration No. 34,423 Registration No. 34,423 Registration No. 34,423 Registration No. MBER	MULTIPLE DEPENDE		<u> </u>	+000.00				
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(USMININ 1706) REGISTRATION NUMBER	Fax. (703) 413-22							
	(OSMMN 1/06)							

Docket No.

296025US0PCT

IN RE APPLICATION OF: Masahiro KAWAMURA, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/JP05/06605 AP5 REC'O PCT/PTO 27 SEP 2006

FILED:

Herewith

FOR:

NITROGENOUS HETEROCYCLE DERIVATIVE AND ORGANIC ELECTROLUMINESCENT ELEMENT

EMPLOYING THE SAME

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is an amendment in the above-identified application.

- No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- Additional documents filed herewith: PCT Transmittal Letter/Credit Card Payment Form for \$1,150.00/Application Data

Sheet/Notice of Priority/Information Disclosure Statement/PTO-1449/International Search Report/References Cited(3)/English Translation of Specification/ Declaration/

PCT/IB/304/

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS		RATE		CALCULATIONS
TOTAL	15	MINUS	20	0	х	\$50	=	\$0.00
INDEPENDENT	1	MINUS	3	0	х	\$200	=	\$0.00
APPLICATION SIZE		MINUS	100	0 (each addtl. 50 sheets)	х	\$250	=	\$0.00
		☐ MULTIPLE DEPENDENT CLAIMS + \$360 =				\$0.00		
TOTAL OF ABOVE CALCULATIONS						NS	\$0.00	
		☐ Reduction by 50% for filing by Small Entity					\$0.00	
		TOTAL					\$0.00	

П	A chec	rk in the	amount of	ብስ ብዎን	is attached.
	A Chec	k m me	анноши от	30.00	is allached.

- ☐ Credit card payment form is attached to cover the fees in the amount of **\$0.00**
- Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

lunde Socha

Norman F. Oblon

Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Surinder Sachar

Registration No. 34,423